



*TRW Receipt*

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Robert Charles REES et al ) Group Art Unit: 1645  
Serial No. 10/523,981 ) Examiner: TBA  
Filed: February 8, 2005 ) Atty. Dkt. No. 000487.00034

For: **GASTRIC AND COLON CANCER-ASSOCIATED ANTIGENS**

**REQUEST FOR CORRECTED FILING RECEIPT UNDER 35 U.S.C 371 AND 37**  
**CFR 1.495**

Commissioner of Patents  
U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA. 22314

Sir:

Please issue a corrected filing receipt for this application. A copy of the original receipt with the error noted in red accompanies this request. A copy of our postcard receipt and the signed Declaration filed on February 8, 2005 are also enclosed.

Please delete the **First Name of the Second Inventor** " GENE " and insert the following:

-- **GENG** --

We believe no fee is due in connection with this request. If a fee is due, please charge our Deposit Account No. 19-0733.

Date: March 29, 2006

Respectfully submitted,

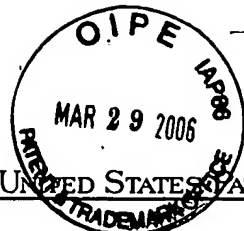
By: *S. A. Kagan*  
Sarah A. Kagan  
Reg. No. 32,141

Banner & Witcoff, Ltd.

Customer No. 22907  
SAK:mhn

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LMH/SAK



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/523,981	02/08/2005	1645	1480	000487.00034	2	20	11

CONFIRMATION NO. 1140

22907  
 BANNER & WITCOFF  
 1001 G STREET N W  
 SUITE 1100  
 WASHINGTON, DC 20001

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FILING RECEIPT



\*OC000000018269189\*

MAR 20 2006

BANNER &amp; WITCOFF, LTD.

Date Mailed: 03/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Robert Charles Rees, Sheffield, UNITED KINGDOM;  
 G E N 6 Gene Li, Nottingham, UNITED KINGDOM;  
 Aija Line, Riga, JAPAN;

DOCKETED  
 000487.00034  
 MAR 20 2006  
 AR

## Assignment For Published Patent Application

THE NOTTINGHAM TRENT UNIVERSITY, NOTTINGHAM, UNITED KINGDOM

Power of Attorney: The patent practitioners associated with Customer Number 22907.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB03/03456 08/07/2003

## Foreign Applications

UNITED KINGDOM 0218468.7 08/08/2002

Projected Publication Date: 06/22/2006

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

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**Title**

Gastric and colon cancer-associated antigens

**Preliminary Class**

424

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**LICENSE FOR FOREIGN FILING UNDER  
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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

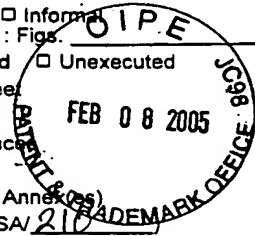
# PCT RO/US BOX PCT

B&W # 000487.00034 Atty/Sec SAK/mkn Inspected By: \_\_\_\_\_  
 (Corresponding to U.S. Serial No.: \_\_\_\_\_ Filed: \_\_\_\_\_ Date February 8, 2005 (B&W# \_\_\_\_\_))  
 In re International Application of REES et al  
 International Application No. PCT/GB2003/003456  
 Title GASTRIC AND COLON CANCER-ASSOCIATED ANTIGENS

The following has been received in the U.S. Receiving Office on the date stamped hereon:

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| <ul style="list-style-type: none"> <li><input type="checkbox"/> New PCT Application &amp; Fee, including:</li> <li><input type="checkbox"/> Transmittal Letter: 1 Sheet</li> <li><input type="checkbox"/> Request: #of Sheets _____ Replacements <input type="checkbox"/> YES <input type="checkbox"/> NO</li> <li><input type="checkbox"/> Fee Calculation Sheet: Original + 1 copy</li> <li><input type="checkbox"/> Description: #of Shts _____ Replacements <input type="checkbox"/> YES <input type="checkbox"/> NO</li> <li><input type="checkbox"/> Claims: #of Sheets _____ Replacements <input type="checkbox"/> YES <input type="checkbox"/> NO</li> <li><input type="checkbox"/> Abstract: 1 Sheet Replacement <input type="checkbox"/> YES <input type="checkbox"/> NO</li> <li><input type="checkbox"/> Drawings: <input type="checkbox"/> Informal <input type="checkbox"/> Formal #of Sheets _____ Replacements <input type="checkbox"/> YES <input type="checkbox"/> NO</li> <li><input type="checkbox"/> Executed Power(s) of Attorney: #of POA(s) _____</li> <li><input type="checkbox"/> Sequence Listing: # of Sheet(s) _____</li> <li><input type="checkbox"/> Req. for Ext. of Time to Correct Defects until _____</li> <li><input type="checkbox"/> Response to Invitation to Correct Defects</li> <li><input type="checkbox"/> Chapter II Demand &amp; Fee # of sheets in Demand _____</li> <li><input type="checkbox"/> Article 19 Amendment</li> <li><input type="checkbox"/> Response to Written Opinion</li> </ul> | <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> U.S. Nat'l Phase of PCT Application, including:</li> <li><input type="checkbox"/> Specification: # of pages of description and claims _____<br/> <input type="checkbox"/> in English Translation</li> <li><input type="checkbox"/> Drawings : <input type="checkbox"/> Formal <input type="checkbox"/> Informal<br/> <input type="checkbox"/> # of distinct sheets : Figs. _____</li> <li><input checked="" type="checkbox"/> Declaration/PoA : <input checked="" type="checkbox"/> Executed <input type="checkbox"/> Unexecuted</li> <li><input checked="" type="checkbox"/> Assignment w/PTO Cover Sheet</li> <li><input type="checkbox"/> Small Entity Declaration</li> <li><input checked="" type="checkbox"/> IDS w/PTO 1449 <input type="checkbox"/> Reference</li> <li><input checked="" type="checkbox"/> Preliminary Amendment</li> <li><input type="checkbox"/> IPER w/English Translation of Annex(es)</li> <li><input checked="" type="checkbox"/> International Search Report (ISA/210)</li> </ul> |
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B&W Rev. 10/00



Attorney Docket: 000487.00034

# JOINT DECLARATION FOR PATENT APPLICATION

the below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names;

We believe we are the original, first and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: "GASTRIC AND COLON CANCER-ASSOCIATED ANTIGENS", the specification of which

- ☐ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Application Serial Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).  
☒ was filed under the Patent Cooperation Treaty (PCT) and accorded International Application No. PCT/GB2003/003456, filed 7 August, 2003, and amended on \_\_\_\_\_ (if applicable).

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We hereby acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

## Prior Foreign Application(s)

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Application No.	Date of Filing (day month year)	Date of Issue (day month year)	Priority Claimed Under 35 U.S.C. §119
GREAT BRITAIN	0218468.7	8 August 2002		Yes

## Prior United States Provisional Application(s)

We hereby claim priority benefits under Title 35, United States Code, §119(e)(1) of any U.S. provisional application listed below:

U.S. Provisional Application No.	Date of Filing (day month year)	Priority Claimed Under 35 U.S.C. §119(e)(1)

## Prior United States Application(s)

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112. We acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing (Day, Month, Year)	Status X Patented, Pending, Abandoned

Attorney Docket: 000487.00034


**Power of Attorney**

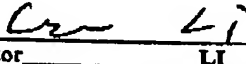
And we hereby appoint, both jointly and severally, as our attorneys, all attorneys under PTO Customer Number 22907, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office.

All correspondence and telephone communications should be addressed to:

Banner & Witcoff, Ltd.  
Customer Number: 22907 (WDC)

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature  Date 01-02-05  
Full Name of First Inventor REES Robert Charles  
Residence Bradway, Sheffield Family Name First Given Name Second Given Name  
Post Office Address 48 Longford Road, Bradway, Sheffield S17 4LO Great Britain Citizenship GB

Signature  Date 01-02-2005  
Full Name of Second Inventor LI Geng  
Residence Beeston, Nottingham Family Name First Given Name Second Given Name  
Post Office Address 25 Belmont Close, Beeston, Nottingham NG9 5FY Great Britain Citizenship GB

Signature \_\_\_\_\_ Date \_\_\_\_\_  
Full Name of Third Inventor LINE Aija  
Residence Riga Family Name First Given Name Second Given Name  
Post Office Address Biomedical Research and Study Centre, University of Latvia, 1 Ratsupites St. LV-1067 Riga Latvia Citizenship LV

Attorney Docket: 000487.00034

### Power of Attorney

And we hereby appoint, both jointly and severally, as our attorneys, all attorneys under PTO Customer Number 22907, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office.

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Signature \_\_\_\_\_ Date \_\_\_\_\_  
Full Name of First Inventor NEES Robert Charles  
Family Name First Given Name Second Given Name  
Residence Bradway, Sheffield  
Post Office Address 48 Langford Road, Bradway, Sheffield S17 4LO Great Britain  
Citizenship GB

Signature \_\_\_\_\_ Date \_\_\_\_\_  
Full Name of Second Inventor LI Georg  
Family Name First Given Name Second Given Name  
Residence Beeston, Nottingham  
Post Office Address 25 Belmont Close, Beeston, Nottingham NG9 5PY Great Britain  
Citizenship GB

Signature \_\_\_\_\_ Date 03.02.2005  
Full Name of Third Inventor LINE Alla  
Family Name First Given Name Second Given Name  
Residence Riga  
Post Office Address Biomedical Research and Study Centre, University of Latvia, 1 Raiņa bulvārs St. LV-1067 Riga Latvia  
Citizenship LV

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